

SINGLE DOSSIER: WILL NATIONAL EARLY STAGE TRIALS SUFFER OR  
BENEFIT? A CRO VIEW :

CASE STUDY : **A MULTINATIONAL PHASE I/IIA  
PROOF OF CONCEPT STUDY**

Bruno Speder, ir.  
Head Clinical Regulatory Affairs

SGS Life Services Clinical Research



- Streamlined application procedure via EU portal
- A single set of documents
- Harmonised procedure for the assessment
  - Part I is jointly assessed by all Member States concerned
  - Part II is assessed by each Member State concerned separately
- Strictly defined deadlines
- Simplified reporting procedures
- Increased transparency as regards clinical trials and their outcomes



- 'Drive' to have first assessment of efficacy as early as possible
  - Proof of Concept (POC)
  
- Phase I / Iia study
  - Healthy Volunteer part
  - Patient part
  
- Patient population sometimes difficult to find in Western Europe
  - HV part in Western Europe
  - Patient part in Eastern Europe



- US biotech company
  - Phase I / IIa study with Hepatitis C compound
    - First in Human part in healthy volunteers
    - Patient arm for early proof of concept
  - Potential issue with non-clinical data
  
- IND received clinical hold by FDA, due to concern on non-clinical data
  
- Sponsor looking a rapid solution



- Assessment of non-clinical issue by internal experts
  - Available non-clinical data deemed acceptable
  - Scientific Advice required
- European scenario proposed
  - Belgium
    - Healthy volunteer part / Patient part
  - Romania
    - Patient part
  - Poland
    - Patient part
- HV part in Belgium due to short RA timelines
  - HV part almost finished when Romania / Poland are ready to start patient recruitment
  - Staggered start-up



- Type I Scientific Advice in Belgium
  - 30 day written response
- In Parallel preparation of CTA applications
  - Immediate submission if positive scientific advice
- CTA submission approved
  - Belgium: 15 days
  - Romania: 69 days
  - Poland: 94 days
- HV part almost completed completed when patient recruitment started



- Scientific Advice
  - 30 day written response
  
- Constraint
  - Single dossier will lead to single submission
  - No option anymore for staggered start-up
  
- Two options
  - Submit all countries at once
  - Submit Belgium first for HV part
    - After approval Belgium submit other countries

## OPTION 1 – SUBMISSION WITH ALL COUNTRIES

- Single submission
  - Validation:
    - 10 days
  - Assessment (Part I and II)
    - 45 days + 31 days = 76 days

TOTAL: **86** DAYS

- *Single submission approval between 60-106 days, average taken*



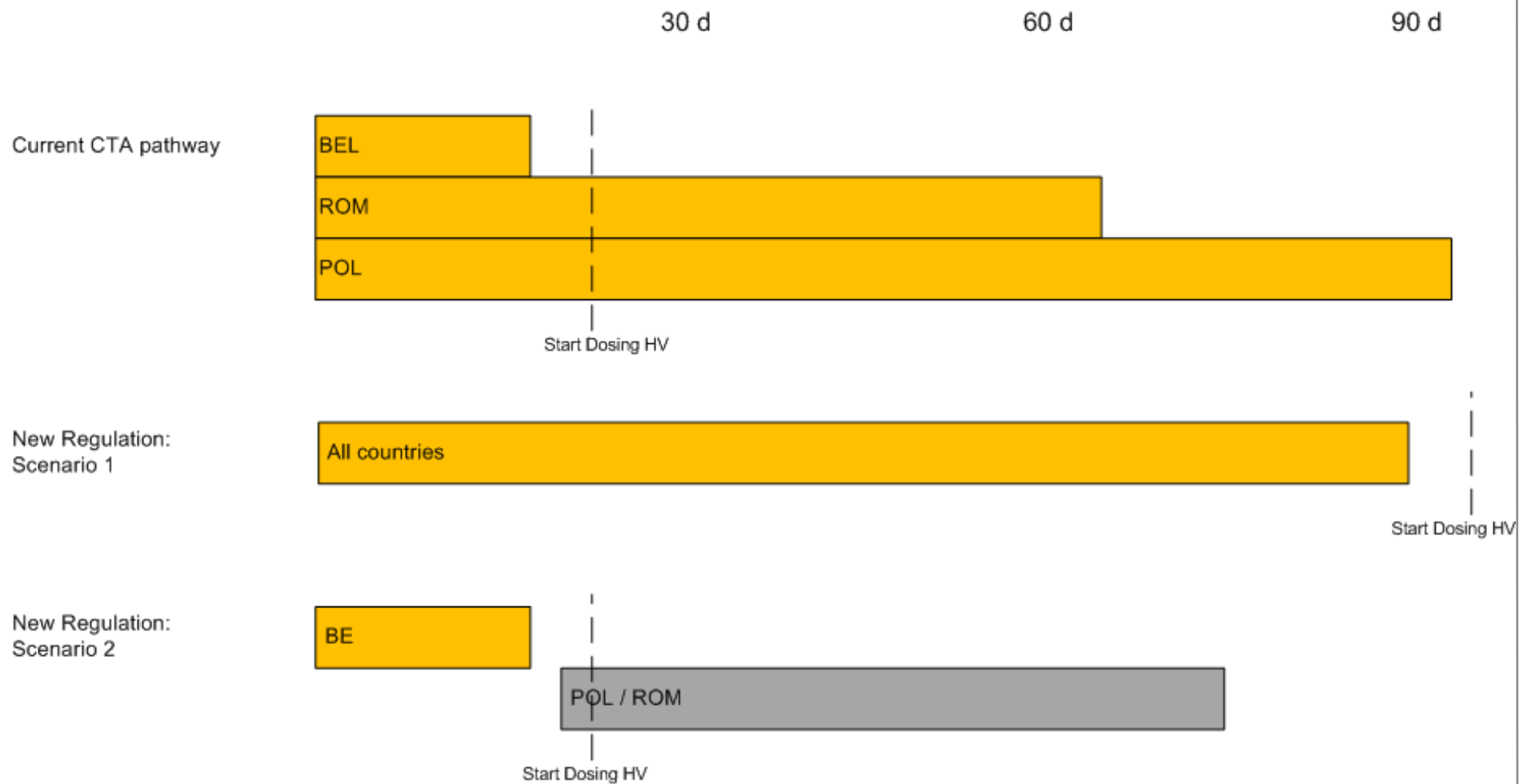
## OPTION 2 – STAGGERED SUBMISSION

- Submit application with Belgium only
  - Validation:
    - 10 days
  - Assessment (Part I and II):
    - 45 days + 31 days = 76 days
- Assumption: Belgium
  - Validation: 3 days
  - Assessment: 15 days
- Add Romania and Poland after approval
  - 52 days

TOTAL: **70** DAYS

- *Additional country: 52 – 83 days, 83 days taken*

## OVERVIEW





- Phase I / IIa proof of concept studies
  - Special case, combining early phase and late phase elements
  - Flexibility and smooth start-up required
  
- New Regulation offers less flexibility
- Workaround possible
- Goodwill of regulators
  
- Early phase attractiveness more than timelines alone



THANK YOU FOR YOUR ATTENTION



**Life Science Services**

**Bruno Speder**

Head Clinical Regulatory Affairs

**SGS**

Life Science Services,  
Generaal De Wittelaan 19a bus 5  
2800 Mechelen  
Belgium

Phone: + 32 15 440 116  
Fax: +32 473 26 11 73  
E-mail : [bruno.speder@sgs.com](mailto:bruno.speder@sgs.com)  
Web : [www.sgs.com/lifescience](http://www.sgs.com/lifescience)

**CONTACT US**

**LABORATORY SERVICES**

[lss.info@sgs.com](mailto:lss.info@sgs.com)

**EUROPE :** + 41 22 739 9548

**AMERICAS :** + 1 866 SGS 5003

**ASIA :** + 65 637 90 111

[www.sgs.com/lifescience](http://www.sgs.com/lifescience)

**CLINICAL RESEARCH**

[lss.info@sgs.com](mailto:lss.info@sgs.com)

**EUROPE :** + 33 1 41 24 87 87

**AMERICAS :** + 1 877 677 2667

**SGS**

QUESTIONS?

